

2012 Goal	Topic	Question
Drive Collective Action through Collaboration and Accountability	Common Operating Models	To what extent is information gathered from international partners integrated into the watchlisting and screening process?
	CUI	Does your agency participate in the Joint Terrorism Task Forces (FBI)?
		Does your agency participate in the National Joint Terrorism Task Forces?
		Does your agency utilize eGuardian (FBI)?
	Fusion Centers	Does your agency participate in the National Network of Fusion Centers (state and major urban areas)?
		To what extent does your agency incorporate fusion center information into its own products and services? Please explain.
		What percentage of State and Major Urban Fusion centers has your agency provided training to in Analytics?
		What percentage of State and Major Urban Fusion centers has your agency provided training to in CIKR issues?
		What percentage of State and Major Urban Fusion centers has your agency provided training to in Counterintelligence?
		What percentage of State and Major Urban Fusion centers has your agency provided training to in P/CR/CL Issues?
What percentage of State and Major Urban Fusion centers has your agency provided training to in SARs?		
Governance	Are ISE priorities considered when making resource and systems decisions at an agency level?	

	<p>Does your agency have a dedicated Senior Information Sharing Executive per E.O. 13587?</p> <p>Does your agency have a process to communicate its own information sharing and safeguarding initiatives to the ISA IPC?</p> <p>Does your agency have a process to identify information sharing and safeguarding initiatives that could be implemented by other agencies within the ISE?</p> <p>If so, does your agency's designated ISA IPC representative have direct access to the Senior Information Sharing Executive?</p> <p>To what extent has your agency communicated its own information sharing and safeguarding initiatives to the ISA IPC? Please explain.</p>
Performance Management, Training, and Incentives	<p>Do employees that support ISE-related priorities have "information sharing and collaboration" as a component of their performance appraisals?</p> <p>Do employees without direct ISE responsibilities have "information sharing and collaboration" as a performance objective?</p> <p>Does your agency offer information sharing related awards (monetary or non-monetary)?</p> <p>Has nomination of candidates for information sharing and collaboration awards increased, decreased, or stayed the same since it was first offered?</p> <p>What degree has your agency implemented any mission-specific training that supports information sharing and collaboration? Please provide examples.</p> <p>What degree of success have these trainings produced improvements to information safeguarding and stewardship?</p>
SAR	<p>Does your agency have a live SAR database?</p> <p>Does your agency have a process in place to validate SARs?</p> <p>Does your agency participate in the Nationwide Suspicious Activity Reporting Initiative?</p> <p>Does your agency provide SAR training (either directly or indirectly)?</p> <p>If so, how often does your agency forward all validated SARs to the NSI?</p>

		What percentage of critical milestones has the NSI-related Security Incident Management and Analysis System (SIMAS) program met successfully?
	Shared Services	Does your agency use a government wide template in developing information sharing agreements?
Improving Information Discover and Access through Common Standards	Identity Management	Can members of your agency obtain PKI certificates for ISE-related systems?
		Does your agency have an accessible authoritative source (on 1 or more classification levels) for attribute information on users, for the purpose of making access control decisions?
		Does your agency plan to adopt Federal Identity, Credential, and Access Management (FICAM) standards?
		Has your agency implemented an accessible authoritative source at any classification level?
		Is your agency planning to implement Smart metadata tagging on ISE-related systems?
		To what extent does your agency have documented policies and/or implementing guidelines on IT security reciprocity stating the conditions under which you will accept the security certification and/or accreditation/authorization of another organization?
		To what extent does your agency use PKI for ISE related information and mission systems?
		To what extent has your agency implemented FICAM standards? Please explain.
		To what extent has your agency implemented Smart metadata tagging on ISE-related systems?
		What percentage of bureaus have ECM/SharePoint trust enabled?
Information Sharing & Safeguard		Does your agency engage with industry Standards

Standards	<p>Development Organizations to further voluntary consensus</p> <p>Does your agency have a single authoritative repository related to ISE Technical or Functional Standards?</p> <p>Does your agency have an authoritative council for standards development?</p> <p>How often does your agency reference 'mission segment architectures' (e.g. SAR) when implementing ISE mission business processes?</p> <p>To what extent has your agency incorporated Common Information Sharing Technical Standards into your architectures? (please refer to Information Sharing Environment Enterprise Architecture Framework Version</p> <p>To what extent has your agency incorporated ISE Functional Standards into the management and implementation of its ISE-related mission business processes?</p> <p>To what extent has your agency incorporated ISE Technical Standards into enterprise architectures and IT capability?</p>
Shared Services	<p>Does your agency have a defined MOU/MOA development process that covers discovery and access to data by</p> <p>If your agency has a defined MOU/MOA development process that covers discovery and access to data by external partners and systems, to what extent is your this process used?</p> <p>If your agency has a defined MOU/MOA development process that covers discovery and access to data by external partners and systems, what effect has it had on</p> <p>In regards to privacy, does your agency have a single MOU/MOA repository for system-to-system sharing and</p> <p>From how many organizations does your agency accept (and make accreditation decisions without retesting) IT security certification bodies of evidence? , i.e., does your</p> <p>Does your agency accept (and make accreditation decisions without retesting) IT security certification bodies of evidence? , i.e., does your agency practice IT security reciprocity, for other organizations (e.g., private sector, foreign governments)?</p> <p>Does your agency accept (and make accreditation decisions without retesting) IT security certification bodies of evidence? , i.e., does your agency practice IT security reciprocity, for State, Local, or Tribal (SLT) governments?</p>

		<p>What impact have improvements in Enterprise Data correlation had on mission outcomes in your agency? Please explain.</p>
<p>Optimize Mission Effectiveness through Shared Services and Interoperability</p>	<p>Shared Services</p>	<p>Does your agency have a plan to implement a capability to interconnect SBU/CUI networks in order to share terrorism information sharing processes (since last year's survey)</p> <p>If there was improvement in your agency's terrorism information sharing processes (since last year's survey)</p> <p>If there was improvement in your agency's terrorism information sharing processes (since last year's survey)</p> <p>To what degree is there improvement in your agency's terrorism information sharing processes (since last year's survey) with other ISE partners by implementing an ISE Shared Space in your organization? Please explain.</p> <p>To what extent has your agency implemented interconnection plans for SBU/CUI networks supporting ISE-related missions?</p> <p>To what extent has your agency's ability to discover, access, and retrieve information needed to accomplish the mission improved based on services shared from external agencies and systems? Please provide examples.</p> <p>To what level has access to terrorism information from ISE partners improved by utilizing their designated ISE Shared Space?</p> <p>What is your agency's current stage in regards to an information sharing segment architecture?</p>
	<p>Standards-Based Acquisition</p>	<p>Are ISE Functional Standards considered when issuing mission system RFPs and/or Grants (for ISE-related</p> <p>Are ISE Technical Standards considered when issuing mission system RFPs and/or Grants (for ISE-related</p>

		<p>To what extent are ISE Functional Standards used when issuing mission system RFPs and/or Grants (for ISE-related systems)?</p>
<p>Protect Privacy, Civil Rights, and Civil Liberties through Consistency and Compliance</p>	<p>Privacy</p>	<p>To what extent are ISE Technical Standards used when issuing mission system RFPs and/or Grants (for ISE-related systems)?</p> <p>Approximately, how many personnel with information sharing responsibilities received training on your agency's Privacy, Civil Rights, Civil Liberties (P/CR/CL) policies, to include your agency's ISE Privacy Policy?</p> <p>Are your agency's information sharing and access agreements coordinated with oversight agencies? If so, Does your agency conduct outreach to State, local, tribal, and private sector partners on privacy, civil rights, and civil</p> <p>Has your agency received ISE related complaints requiring action under your ISE privacy policy?</p> <p>How frequently are personnel required to review your agency's ISE Privacy Policy?</p> <p>If your agency has not submitted an ISE privacy policy to the ISA IPC Privacy and Civil Liberties Sub-Committee, please indicate where your agency is in the process (not started, under development, etc.).</p>

	<p>Is your agency operating under an approved privacy policy consistent with the ISE Privacy Guidelines?</p>
	<p>To what extent has your agency implemented mechanisms that allow you to verify that personnel are compliant with your agency's privacy and civil liberties policies, to include your ISE Privacy Policy?</p>
	<p>What percent of your agency's existing business process(es) have been modified to align with the requirements of your agency's ISE Privacy Policy?</p>