



SEMIANNUAL REPORT

THE OFFICE OF THE INSPECTOR GENERAL OF THE INTELLIGENCE COMMUNITY

APRIL - SEPTEMBER 2022



REPORT AVAILABILITY

The Office of the Inspector General of the Intelligence Community posts audit, inspection, and evaluation reports, news releases, and information about ongoing work on the IC Inspector General website at www.DNI.gov/ICIG.

Information about the federal Inspector General community is available through the Inspectors General Network at www.IGnet.gov.

Public reports from all federal Inspectors General who are members of the Council of the Inspectors General on Integrity and Efficiency are available at www.Oversight.gov.

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MESSAGE FROM THE INSPECTOR GENERAL

On behalf of the Office of the Inspector General of the Intelligence Community (IC IG), I am pleased to present the *Semiannual Report to Congress* summarizing the office's activities from April 1, 2022, through September 30, 2022.

During this six-month reporting period, IC IG furthered the strategic goals, objectives, and initiatives outlined in our *Strategic Plan 2021-2025*. We remained committed to our core values of integrity, independence, transparency, accountability, and diversity. We also advanced our vital mission of promoting economy, efficiency, and effectiveness in the programs and activities within the responsibility of the Director of National Intelligence (DNI) and preventing and detecting fraud and abuse in those programs and activities.

In addition to conducting audits, inspections, investigations, reviews, and evaluations, as well as Hotline and other activities, during this period, the IC IG met with the DNI and senior Office of the Director of National Intelligence (ODNI) staff to discuss all open IC IG recommendations in order to promote even greater cooperation, transparency, and accountability. The IC IG and DNI agreed to conduct such meetings quarterly. The IC IG appreciates the DNI's engagement and focus on these matters.

IC IG was also proud to host a virtual Intelligence Community Inspectors General Conference, the first such conference in three years, and the largest and most diverse in IC IG's history. We were also proud to host a virtual Intelligence Community Inspectors General Awards Ceremony, at which we

celebrated the impressive and impactful accomplishments of individuals and teams from around the community for the last three years.

We continue to work closely and collaboratively with the Office of the Director of National Intelligence, Congress, the U.S. Government Accountability Office, the Intelligence Community Inspectors General Forum, the Council of the Inspectors General on Integrity and Efficiency, the Five Eyes Intelligence Oversight and Review Council, and others.

I thank everyone at IC IG and throughout the Intelligence Community whose professionalism, dedication, and support enabled the important work reflected in this report. As a result, we are strengthening the Intelligence Community in service to the Nation.

THOMAS A. MONHEIM

Inspector General of the
Intelligence Community

OCTOBER 31, 2022

About Us

AUTHORITY

The Intelligence Authorization Act for Fiscal Year 2010 (IAA), codified at Title 50, United States Code, Section 3033, established the Office of the Inspector General of the Intelligence Community (IC IG) within the Office of the Director of National Intelligence. The IC IG has the statutory authority to independently conduct and issue reports on audits, inspections, investigations, and reviews of programs or activities within the Director of National Intelligence’s (DNI’s) responsibility and authority. The IC IG is authorized to receive and investigate complaints or information from whistleblowers, and to conduct independent reviews of Intelligence Community matters and whistleblower reprisal claims.

The IAA also established the Intelligence Community Inspectors General Forum (the Forum). The Inspector General of the Intelligence Community serves as the Chair and Forum members consist of the statutory and administrative Inspectors General with oversight responsibility for an IC element. The Forum provides a venue for coordination and collaboration regarding matters of common interest, questions of jurisdiction, and access to personnel and information that may affect more than one Office of the Inspector General.

MISSION

The IC IG’s mission is to promote economy, efficiency, and effectiveness and to prevent and detect fraud, waste, and abuse in the programs and activities within the DNI’s responsibility and authority.

VISION

A premier workforce that exemplifies core values and strengthens the Intelligence Community.

VALUES



INTEGRITY



INDEPENDENCE



TRANSPARENCY



ACCOUNTABILITY



DIVERSITY

ABOUT US

STATEMENT OF INDEPENDENCE

The Inspector General of the Intelligence Community is appointed by the President of the United States, with the advice and consent of the United States Senate. By statute, the Inspector General of the Intelligence Community must be nominated based on integrity, experience, and demonstrated ability, and without regard to political affiliation. Likewise, the Office of the Inspector General of the Intelligence Community (IC IG) must perform its duties with independence, objectivity, and impartiality. Accordingly, the IC IG's findings and conclusions are not influenced by bias or external interference; they are based on the facts and applicable law and in accordance with applicable standards for Offices of Inspector General.

STATUTORY REQUIREMENTS

Pursuant to 50 U.S.C. § 3033(k)(1)(A), the IC IG shall, not later than October 31 and April 30 of each year, prepare and submit to the Director of National Intelligence (DNI) a classified and, as appropriate, an unclassified semiannual report summarizing the IC IG's activities during the immediately preceding six-month period ending September 30 and March 31, respectively.

Not later than 30 days after the date of the receipt of the report, the DNI shall transmit the report to the



The IC IG must perform its duties with independence, objectivity, and impartiality.

congressional intelligence committees together with any comments the DNI considers appropriate. The DNI shall transmit to the committees of the Senate and of the House of Representatives with jurisdiction over a department of the United States Government any portion of the report involving a component of such department simultaneously with submission of the report to the congressional intelligence committees.

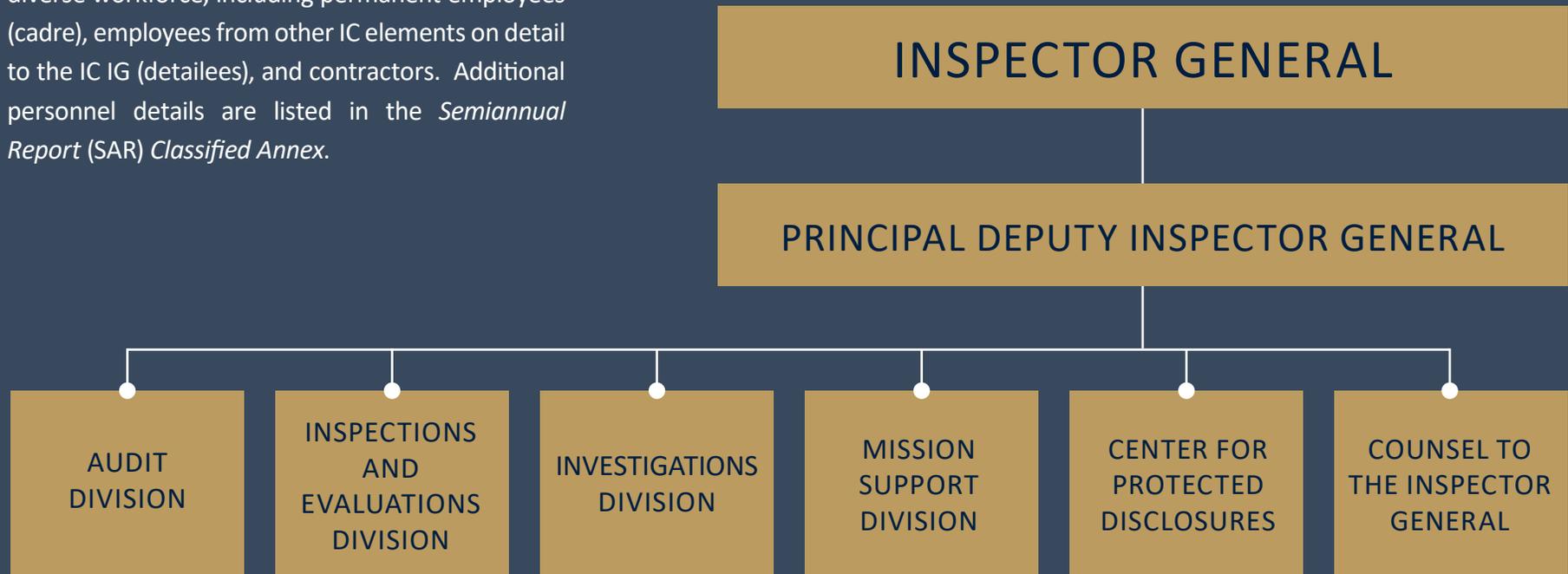
All IC IG inspection and investigation activities conform to standards adopted by the Council of the Inspectors General on Integrity and Efficiency (CIGIE). The IC IG

conducts all audit activities in accordance with generally accepted government auditing standards.

During this reporting period, the Office of the Director of National Intelligence (ODNI) provided the IC IG adequate funding to fulfill its mission. The budget covered personnel services and general support, including travel, training, equipment, supplies, information technology support, and office automation requirements. The IC IG also had full and direct access to all information relevant to the performance of its duties during the reporting period.

ORGANIZATIONAL STRUCTURE

The IC IG employs a highly skilled, committed, and diverse workforce, including permanent employees (cadre), employees from other IC elements on detail to the IC IG (detailees), and contractors. Additional personnel details are listed in the *Semiannual Report (SAR) Classified Annex*.



The IC IG's leadership team comprises the Inspector General, Principal Deputy Inspector General, Counsel to the Inspector General, four Assistant Inspectors General, and the Director of the Center for Protected Disclosures.

ABOUT US

STRATEGIC PLAN

The IC IG *Strategic Plan 2021-2025*, issued in September 2021, details the IC IG’s revised mission, vision, values, goals, objectives, and initiatives, and highlights leadership’s dedication to the people, products, and partnerships of the organization. The plan establishes a path to advance the IC IG mission, expand cross-community engagement, and align projects and resources that will enhance and strengthen the ODNI, the Intelligence Community, and the Nation.

Consistent with the IC IG’s core values of transparency and accountability, we continued to track progress toward our strategic goals and initiatives using an internal database that is accessible to all IC IG personnel. While changes in mission requirements may cause some targeted timeframes to be adjusted, the IC IG remains committed to the continued implementation of the goals outlined in the *Strategic Plan 2021-2025*.

STRATEGIC GOALS

Strengthen the foundation of the Office of the Inspector General of the Intelligence Community by recruiting, developing, and retaining a premier workforce and fostering a diverse, inclusive, collaborative, and engaging environment.

Conduct high-quality and timely audits, inspections, investigations, reviews, and other activities to improve the integration, economy, and efficiencies of the Office of the Director of National Intelligence and the broader Intelligence Community.

Enhance collaboration, coordination, and communication among Intelligence Community Offices of Inspector General, Congress, and our domestic and foreign partners.

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PROMOTING ACCOUNTABILITY THROUGH COOPERATION

As highlighted in the previous IC IG *Semiannual Report*, the Acting Director of the Office of Management and Budget sent a memorandum in December 2021 to the heads of executive departments and agencies entitled *Promoting Accountability through Cooperation among Agencies and Inspectors General*. The memorandum issued guidance regarding agency actions needed to support the role of Inspectors General (IGs) and identified eight ongoing agency practices to potentially enhance cooperation and accountability. In the spirit of this memorandum, in August 2022, the IC IG met with the DNI and senior ODNI staff to discuss all open IC IG recommendations. The meeting included a briefing on why and how the IC IG makes recommendations; an explanation of how IC IG reports, tracks, and closes recommendations; an overview of currently open recommendations; and a summary of recent management referrals and recommendations. IC IG will continue to work with ODNI regarding all open recommendations, to discuss alternative actions and risk tolerance where appropriate, and work to resolve any areas of disagreement. The IC IG and DNI agreed to conduct such meetings quarterly to discuss open recommendations in order to promote greater cooperation, transparency, and accountability.

Products

ODNI MANAGEMENT CHALLENGES

On September 23, 2022, the IC IG issued a report describing what it considered the most serious management and performance challenges facing the ODNI. The management and performance challenges will be included in the *Office of the Director of National Intelligence FY 2022 Agency Financial Report*, to be published in November 2022.

The full *Inspector General's Statement of ODNI's Management and Performance Challenges – Fiscal Year 2022* is included in the *Semiannual Report Classified Annex*.

Based on completed audit, inspection, and investigation findings and other activities conducted during fiscal year (FY) 2022, the IC IG considers ODNI's management and performance challenges to consist of the following areas (not presented in order of importance):



These five management and performance challenges were previously included in the *Inspector General's Statement of ODNI's Management and Performance Challenges – Fiscal Year 2021*.

Audit Division

The Audit Division's mission is to conduct independent and objective audits and reviews of ODNI programs and activities, including audits required by law. Audit Division activities improve business practices to better support the IC's mission; promote the economy, efficiency, and effectiveness of programs and operations throughout ODNI and the IC; and help reduce fraud, waste, abuse, and mismanagement. Audit work focuses on information technology and

security, acquisition policies and practices, project management, business practices, human capital, personnel security, and financial management. Auditors assess whether programs are achieving intended results and whether organizations are complying with laws, regulations, and internal policies in carrying out programs. Audit recommendations and engagements inform ODNI management's efforts to improve the efficiency and effectiveness of ODNI

programs. The Audit Division collaborates with other federal agencies and departments to conduct joint reviews of IC programs and activities, execute peer reviews that assess quality controls, and discuss and address relevant topics of importance to the Inspector General community.

COMPLETED PROJECTS

During the reporting period, the Audit Division completed two projects focused on the policies and practices governing information security.

AUD-2022-001: Summary Report on Intelligence Community Offices of Inspectors General Information Security Continuous Monitoring Program Assessments (July 2022)

Continuous monitoring is a risk management approach to cybersecurity that maintains an agency's security risk posture; provides visibility into assets; and leverages use of automated data feeds to quantify risk, ensure effectiveness of security control, and implement prioritized remedies. In FY 2019, the Audit Division brought together Office of Inspector General (OIG) officers from the Central Intelligence Agency, Defense Intelligence Agency, National Geospatial-Intelligence Agency, National Reconnaissance Office, and National Security Agency to develop an approach for a cross-community review of information security continuous monitoring (ISCM). The OIGs agreed on a uniform methodology for conducting the reviews that included identifying and sharing common themes and challenges that might help inform solutions and options

for improving their agencies' ISCM programs. Four of the OIGs assessed their agencies' implementation of information security continuous monitoring using the agreed-upon methodologies. The IC IG Audit Division consolidated the four OIGs' ISCM data and issued a report that identifies common themes across IC elements where improvements to the IC agencies' continuous monitoring programs would be beneficial.

Additional details may be found in the Semiannual Report Classified Annex.

**FY 2022 ODNI MANAGEMENT CHALLENGE:
Strengthening Information System Security
and Management**

COMPLETED PROJECTS

AUD-2022-002A: FY 2022 Independent Evaluation of the Office of the Director of National Intelligence’s Information Security Program and Practices, as Required by the Federal Information Security Modernization Act of 2014 (July 2022)

On July 25, 2022, the Audit Division reported on its evaluation of the effectiveness and maturity of the ODNI’s information security program and practices, as required by the Federal Information Security Modernization Act of 2014 (FISMA). The Audit Division performed this evaluation using *FY22 Core IG Metrics Implementation Analysis and Guidelines*.¹ The auditors assessed ODNI information security policies, procedures, and practices against the five cybersecurity function areas outlined in the National Institute of Standards and Technology’s *Framework for Improving Critical Infrastructure Cybersecurity*,² using the maturity model included in the metrics. The deficiencies discussed in the report were identified in previously issued IC IG reports along with recommendations for corrective action.

These recommendations remained open at the time the report was issued. Consequently, the report did not make any recommendations.

Additional details may be found in the Semiannual Report Classified Annex.

**FY 2022 ODNI MANAGEMENT CHALLENGE:
Strengthening Information System Security and Management**

¹ The *FY22 Core IG Metrics Implementation Analysis and Guidelines* are developed by the Office of Management and Budget, the Council of the Inspectors General on Integrity and Efficiency, Federal Civilian Executive Branch Chief Information Security Officers and their staff, and the Intelligence Community.

² In February 2013, President Obama issued Executive Order 13636, *Improving Critical Infrastructure Cybersecurity*. The Executive Order calls for the National Institute of Standards and Technology, in collaboration with industry, to develop a voluntary framework for reducing cybersecurity risk. The National Institute of Standards and Technology *Framework for Improving Critical Infrastructure Cybersecurity* provides a prioritized, flexible, repeatable, and cost effective approach to identifying, assessing, and managing cybersecurity-related risk while protecting confidentiality, individual privacy, and civil liberties.

ONGOING PROJECTS

AUD-2020-001: Audit of Integrity and Use of Security Clearance Data Reported to the Office of the Director of National Intelligence

The DNI, as the Security Executive Agent, is responsible for assuring the quality, timeliness, consistency, and integrity of national security vetting practices. The Audit Division, along with the Offices of Inspector General for the Central Intelligence Agency, National Security Agency, and Department of State, audited data IC elements reported in response to *Metrics Reporting Requirements for National Security Vetting in Fiscal Year 2018 and Beyond*. The audit determined whether IC elements accurately captured, documented, and reported required security clearance processing timeliness information. The audit team completed field work and testing, and briefed preliminary results to ODNI officials. However, subsequent resource constraints and higher priority statutory project requirements have impacted the schedule for issuing this report. The IC IG currently anticipates issuing this report during the second quarter of FY 2023.

FY 2022 ODNI MANAGEMENT CHALLENGE:
Reforming the Personnel Security Clearance Process

ONGOING PROJECTS

AUD-2022-003: Audit of the Office of the Director of National Intelligence’s Financial Statements

The IC IG contracted with an independent public accounting (IPA) firm to perform the FY 2022 financial statement audit. The objective of the audit is to provide an opinion on whether ODNI’s financial statements are presented fairly, in all material respects, and in accordance with generally accepted accounting principles. The IC IG Audit Division is providing oversight to ensure the IPA firm performs in accordance with professional and auditing standards, Office of Management and Budget requirements, and the terms of the contract. The IPA firm is scheduled to issue its results in November 2022.

FY 2022 ODNI MANAGEMENT CHALLENGE:
Improving Financial Management

AUD-2022-004: Review of the Defense Intelligence Agency Office of Inspector General

The IC IG is reviewing the Defense Intelligence Agency Office of Inspector General’s level of independence and effectiveness. The IC IG currently anticipates issuing this report during the second quarter of FY 2023.

FY 2022 ODNI MANAGEMENT CHALLENGE:
N/A



Inspections and Evaluations

The Inspections and Evaluations Division (I&E) enhances the IC IG's ability to conduct oversight of programs and activities within the DNI's responsibility and authority outside of traditional audit and investigative disciplines. I&E performs systematic and independent inspections and evaluations of ODNI and IC elements and their components using tailored approaches for determining efficiency, effectiveness, impact, and sustainability of agency operations, programs, or policies in accordance with CIGIE's *Quality Standards for Inspection and Evaluation* (Blue Book). I&E's multidisciplinary staff conducts expedited management and program evaluations and responds to priority issues of concern to the ODNI, the IC, Congress, and the public.

The division issues inspection, evaluation, and special review reports that contain evidence-based findings and recommendations to improve the efficiency and effectiveness of procedures and operations and to promote integration within the ODNI and across the IC.

COMPLETED PROJECTS

INS-2021-002: Review of the Office of the Director of National Intelligence's Pandemic Preparedness and Response (June 2022)

The IC IG Inspections and Evaluations Division completed a review to assess the effectiveness of ODNI's pandemic preparedness and response. The scope of the review encompassed the period from March 2020 through May 2021. The review assessed ODNI emergency management plans and practices to mitigate the effects of a pandemic emergency, and determined the extent to which ODNI developed and implemented workforce health and safety measures in accordance with broader, government-wide guidance. The report was submitted to the DNI and included three recommendations, all of which ODNI concurred.

FY 2022 ODNI MANAGEMENT CHALLENGE:
Strengthening Pandemic Response

COMPLETED PROJECTS

INS-2022-001: Classification Review of the Office of the Director of National Intelligence, Fiscal Year 2021 (August 2022)

The IC IG completed the FY 2021 classification review of the ODNI. The National Defense Authorization Act for Fiscal Year 2020 (NDAA), Pub. L. No. 116-92 § 6721, requires the IC IG to submit an annual report for the next three fiscal years (FYs 2021-2023) on the ODNI's classification and declassification processes to the congressional intelligence committees. The NDAA required the IC IG to analyze the accuracy of ODNI's application of classification and handling markers on a representative sample of finished reports, including reports that are compartmented; compliance with declassification procedures; and the effectiveness of processes for identifying topics of public or historical importance that merit prioritization for declassification review. This is the second review in response to the NDAA. The memorandum report submitted to the DNI and the congressional intelligence committees does not make any recommendations.

FY 2022 ODNI MANAGEMENT CHALLENGE:
Strengthening Information System Security and Management

COMPLETED PROJECTS

INS-2022-002: Inspection of the Office of the Director of National Intelligence's Compliance with the Payment Integrity Information Act of 2019 (July 2022)

Pursuant to direction set forth in the Payment Integrity Information Act of 2019 (PIIA), Pub. L. No.116-117, the IC IG Inspections and Evaluations Division completed an inspection to determine the ODNI's compliance with PIIA for FY 2021. The inspection assessed the ODNI's annual improper payment information included in its *Fiscal Year 2021 Agency Financial Report*. The IC IG determined ODNI is compliant with PIIA for FY 2021. In July, the IC IG provided a memorandum report summarizing the results of the inspection to the congressional intelligence committees.

FY 2022 ODNI MANAGEMENT CHALLENGE:
Improving Financial Management

INS-2022-008: Evaluation of the Central Intelligence Agency's Handling of Anomalous Health Incidents and Associated Complaints to the Office of the Inspector General (September 2022)

The IC IG conducted a review of the Central Intelligence Agency's handling of anomalous health incidents and associated complaints to the Central Intelligence Agency Office of Inspector General. The report submitted to the congressional intelligence committees contained no recommendations.

Additional details may be found in the Semiannual Report Classified Annex.

FY 2022 ODNI MANAGEMENT CHALLENGE:
Improving Policy and Procedure Development
and Implementation

ONGOING PROJECTS

INS-2022-003: Special Review of Intelligence Community Support to Screening and Vetting of Persons from Afghanistan

The IC IG Inspections and Evaluations Division, in collaboration with the Defense Intelligence Agency Office of Inspector General and the Department of Homeland Security Office of Inspector General, is conducting a special review of the IC's support to screening and vetting of persons from Afghanistan. The IC IG currently anticipates issuing this report during the first quarter of FY 2023.

FY 2022 ODNI MANAGEMENT CHALLENGES:

Strengthening Information System Security and Management

FY 2021 ODNI MANAGEMENT CHALLENGES:

Enhancing Intelligence Community Coordination, Integration, and Information Sharing

INS-2022-005: Evaluation of the Intelligence Community's Compliance with Analytic Tradecraft Standards

The IC IG Inspections and Evaluations Division is conducting an evaluation of the IC's compliance with analytic tradecraft standards to determine the extent to which existing oversight structures are effective in safeguarding IC analytic products. The IC IG currently anticipates issuing this report during the fourth quarter of FY 2023.

FY 2022 ODNI MANAGEMENT CHALLENGES:

N/A

ONGOING PROJECTS

INS-2022-009: Report on Assessment of All-Source Cyber Intelligence Information

Pursuant to Section 831 of the Intelligence Authorization Act for Fiscal Year 2022, Pub. L. No. 117-103, the IC IG, in coordination with the National Security Agency Office of the Inspector General and the Central Intelligence Agency Office of Inspector General, is conducting a review to report on the effectiveness of the IC with respect to the integration and dissemination of all-source intelligence relating to foreign cyber threats. The IC IG currently anticipates completing his report in November 2022.

FY 2022 ODNI MANAGEMENT CHALLENGES:
N/A

INS-2022-010: Joint Evaluation of the Relationship between the National Security Agency and the United States Cyber Command

Pursuant to the House Permanent Select Committee on Intelligence and the Senate Select Committee on Intelligence letter dated April 11, 2022, the Office of the Inspector General of the Intelligence Community, the Department of Defense Office of Inspector General (DoD OIG) and the National Security Agency Office of Inspector General (NSA OIG) are in the planning stages of a joint evaluation to review the relationship between the National Security Agency and the United States Cyber Command. The IC IG currently anticipates completing this important review during the fourth quarter of 2023 and informed the congressional intelligence committees in writing on June 16, 2022. However, in light of discussions among DoD OIG, NSA OIG, and IC IG regarding scope and methodology, as well as other significant priorities and resource constraints facing the participants, the IC IG currently anticipates that completion of this review will be further delayed. IC IG intends to update the committees following further consultation among the participants.

FY 2022 ODNI MANAGEMENT CHALLENGES:
Strengthening Information System Security and Management

FY 2021 ODNI MANAGEMENT CHALLENGES:
Enhancing Intelligence Community Coordination, Integration, and Information Sharing



Investigations Division

The Investigations Division conducts proactive and reactive criminal and administrative investigations, including those arising from complaints or information from any person concerning the existence of an activity within the authorities and responsibilities of the DNI constituting a violation of laws, rules, or regulations; or mismanagement, gross waste of funds, abuse of authority, or a substantial and specific danger to the public health and safety. The division also plays a principal role in tracking, monitoring, and investigating unauthorized disclosures of

classified information. The division identifies and reports internal control weaknesses that could render ODNI or other IC programs and systems vulnerable to exploitation, or that could be used for illicit activity.

The Investigations Division's unique statutory authority to investigate programs and activities within the authorities and responsibilities of the DNI allows it to partner with, and provide assistance to, other Offices of Inspector General conducting investigations concerning IC programs and activities. In addition, the

Investigations Division is able to coordinate and assist with the prosecution of criminal matters arising from the other intelligence agencies, including the Central Intelligence Agency, Defense Intelligence Agency, National Geospatial-Intelligence Agency, National Reconnaissance Office, and National Security Agency.

The IC IG's investigation activities conform to standards adopted by CIGIE.

KEY ACCOMPLISHMENTS

Since the last semiannual reporting, the Investigations Division has participated in numerous engagements across the federal government to enhance its investigative tradecraft and further the IC IG's mission. The Investigations Division worked closely with the Department of Treasury to obtain access to financial data to detect fraud and other illicit activities within the IC. Division personnel received training on the practices and procedures for accessing and using the information. The Investigations Division also collaborated with multiple U.S. Attorney's Offices and bank investigators as part of our counter fraud initiative.



CIGIE recognized the Investigations Division during its 24th Annual Awards Ceremony. A member of the division received the DoD Investigations Team Award for participating in the Garrison Courtney investigation. The Investigations Division played a pivotal role in the successful prosecution of Mr. Courtney.

At the March 2022 meeting of the Intelligence Community Inspectors General Forum Investigations Committee, a member of the CIGIE Cyber Security and Civil Cyber Fraud Working Groups presented information related to activities of the working groups. This provided a unique opportunity for Investigations Committee members to learn about current cyber-based cases and the related technical challenges encountered across the IC and within the DoD. Subsequently, members of the Investigations Division joined both the CIGIE Cyber Security and Civil Cyber Fraud Working Groups and attended monthly meetings with our IC, DoD, and industry partners related to diverse cyber-based cases and relevant technical security initiatives. In addition to the opportunity to learn about and directly collaborate on cyber-based issues, the working group meetings allowed the Investigations Division to serve as an information hub, to share knowledge with multiple internal and external mission partners.

INVESTIGATIVE ACTIVITY OVERVIEW

REPRISAL INVESTIGATIONS

During this reporting period, the Investigations Division completed two investigations involving allegations of reprisal and abuse of authority made during an earlier reporting period; we did not substantiate the allegations in either case. In addition to ongoing reprisal investigations, the Investigations Division received three complaints of reprisal this reporting period (one from Office of the Director of National Intelligence personnel and two referrals from the National Security Agency). These reprisal investigations are ongoing.

JOINT INVESTIGATIONS

The Investigations Division continued its efforts on cross-Intelligence Community matters, working six joint investigations. One noteworthy joint investigation resulted in a former U.S. Department of Housing and Urban Development Assistant Inspector General being convicted of falsifying financial disclosure forms. A federal jury convicted the subject for engaging in a scheme to conceal his financial indebtedness to a personal friend and government contractor to whom he steered tens of millions of dollars in government business. The subject also failed to disclose this financial relationship and another large promissory note on public financial disclosure forms. The subject was convicted of one count of concealing material facts, three counts of making false statements, and three counts of falsifying a record or document. The subject is scheduled to be sentenced on December 19, 2022, and faces a maximum penalty of 80 years in prison. A federal district court judge will determine any sentence after considering the U.S. Sentencing Guidelines and other statutory factors. Members of the Investigations Division received recognition from the Department of Justice Public Integrity Section for its unwavering support and contributions to this joint investigation.

The Investigations Division's joint investigations involve a variety of potential offenses, including fraud, unauthorized disclosure, counterintelligence, intelligence oversight, procurement fraud, and contractor misconduct. Partners include other IC Offices of Inspector General, the Federal Bureau of Investigation, other federal investigative agencies, the Department of Justice Public Integrity Section and Antitrust Division Washington, and the U.S. Attorney's Office for the Eastern District of Virginia. Due to the size, scope, and complexity of these joint investigations, we expect our investigative and support efforts to continue throughout the next reporting period.

INVESTIGATIONS DIVISION

Ongoing and Complete Investigations

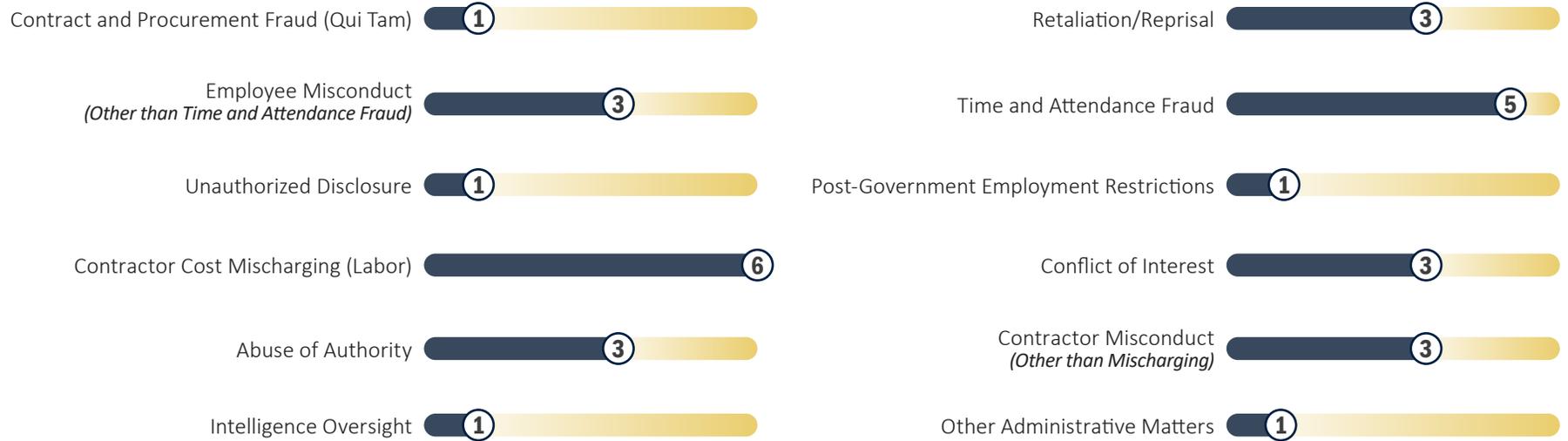
The Investigations Division currently has 31 open investigations, and issued 7 investigative reports this reporting period.³ Based on the investigative findings, IC IG made a series of targeted recommendations to ODNI senior leadership designed to mitigate risk associated with these deficiencies. Subsequently, ODNI senior leadership issued guidance to the ODNI workforce to deter and prevent the recurrence of this conduct. The division also recovered \$54,051.41 for the United States Government in response to a previously reported labor mischarging

investigation that concluded the subject violated ODNI Instruction 93.04, *Limited Personal Use of Government Office Equipment*, dated April 17, 2017; Agency Regulation (AR) 13-11, *Outside Activities*, dated April 21, 2015; 31 U.S.C. § 3729(a)(1)(B), *False claims*; 18 U.S.C. § 287, *False, fictitious or fraudulent claims*; 18 U.S.C. § 641, *Public money, property or records*, 18 U.S.C. § 1001, *Statements or entries generally*; and 18 U.S.C. § 1621, *Perjury generally*, when the employee made false statements while under oath.



³ To ensure consistencies in reporting, in July 2022, the Investigations Division, coupled with the IC IG Hotline Team, streamlined the case categories in which allegations are captured. As such, the numbers of cases for the CASE SUBJECT/ALLEGATION reported this period may differ from what was previously reported.

OPEN INVESTIGATIONS



31 Active Investigations

Source: Data contained in this report and table was obtained from the IC IG Case Management Tracking System.

THE IC IG DID NOT ISSUE ANY SUBPOENAS UNDER ITS 50 U.S.C. § 3033(g)(5) AUTHORITY DURING THIS REPORTING PERIOD.

SUMMARIES OF PUBLISHED REPORTS

Contractor Cost Mischarging

On July 12, 2022, the IC IG completed its report of investigation for an alleged instance of computer misuse. The investigation was initiated based on an allegation that a contractor employee, while on contract with the ODNI, operated an unreported personal business on the Agency Internet Network (AIN) to become a licensed grower of medical marijuana and hemp. Our investigation concluded the employee engaged in computer misuse when the employee used the AIN to access social media platforms to send and receive emails to solicit funding, recruit potential business partners, and research products and methods related to medical marijuana and hemp, in violation of ODNI Instruction 93.04, *Limited Personal Use of Government Office Equipment*. We also determined the employee failed to report the employee's personal businesses as required within AR 13-11, *Outside Activities*.

ODNI is taking steps to recover funds for the mischarging. We expect it will take several billing cycles to process the recoupment. The employee no longer supports an active ODNI contract and a suitability notation was added to the employee's security file.

Other Administrative Matters

On August 4, 2022, the IC IG completed its report of investigation regarding allegations that ODNI violated the Uniformed Service Employment and Reemployment Rights Act (USERRA). Specifically, a complainant alleged that ODNI improperly penalized the complainant because of the complainant's military service in violation of USERRA, the Code of Federal Regulations, and ODNI policies. The complainant purported that ODNI policies may not be compliant with other laws and processes. Specifically, the complainant alleged ODNI failed to provide adequate time to prepare a competitive promotion package; provide the same guidance that was provided to other ODNI employees on the preparation of a promotion package; apply USERRA's "escalator principle" to the complainant's promotion board; properly place the complainant in a position of like status within ODNI upon the complainant's return from military service; and consider the complainant for a discretionary selection because of the complainant's future military service.

The investigation concluded that ODNI Instruction 77.01, *Employment and Reemployment of Members of the Uniformed Services*, April 20, 2017, was compliant with USERRA requirements.

The investigation did not identify any credible and substantive evidence that the complainant was improperly denied any rights under USERRA. Our investigation determined that ODNI cadre employees on detail or on leave without pay, to include those serving on military orders, had access to promotion-related guidance via ODNI staff and through an unclassified network portal. We also concluded that ODNI appropriately applied the escalator principle to ODNI cadre employees who have returned from military service, and did so in this instance. Furthermore, our investigation did not identify any credible and substantive evidence that ODNI failed to consider the complainant for a discretionary selection to a position of increased responsibility because of future military service.

The investigation did, however, identify several management deficiencies related to ODNI's implementation of USERRA, and we made recommendations to mitigate those deficiencies.

SUMMARIES OF PUBLISHED REPORTS

Conflict of Interest

On September 19, 2022, the IC IG completed its report of investigation for an investigation initiated based on an alleged conflict of interest involving an ODNI employee. Specifically, a complainant alleged that despite having signed a recusal memorandum, the employee engaged in source selection/employment negotiations, which could affect the financial interests of the employee or the company.

Our investigation determined the employee violated AR 13-1, *Conflicts of Interest, Lack of Impartiality, Gifts, Honoraria, Misuse of Position, Post-Employment Restrictions, and Political Activities*. AR 13-1 (II)(B)(1) prohibits an employee from “participat[ing] personally and substantially in an official capacity in any particular matter in which the employee, or a person whose interests are imputed to the employee, has a financial interest if the particular matter would have a direct and predictable effect on that financial interest.” The financial interests of an organization are imputed to an employee if “the employee is negotiating or has an arrangement concerning prospective employment” with the organization. Once the employee began negotiating employment with company, its financial interests were imputed to the employee.

Our investigation also determined the employee violated 5 C.F.R. § 2635.604, *Recusal while seeking employment*, and 5 C.F.R. § 2635.402, *Disqualifying financial interests*. We found no evidence the employee intentionally or knowingly violated 5 C.F.R. §§ 2635.402(a) and 2635.604(a). There was no indication the conduct was motivated by a desire to directly or indirectly benefit the company or themselves. We concluded that the employee acted in good faith based on the training and guidance provided. However, Section 2635.402(a) does not require that an employee intend to commit a violation or have knowledge that his or her conduct constitutes a violation; and recusal under Section 2635.604(a) is mandatory in the absence of a waiver. The employee continued to participate in the source selection process after the initiation of employment discussions with the company and failed to seek recusal and therefore violated Sections 2635.402(a) and 2635.604(a).

The employee resigned from government service prior to the conclusion of the investigation and the U.S. Attorney’s Office for the Eastern District of Virginia declined prosecution of the case.

SUMMARIES OF PUBLISHED REPORTS

Contractor Cost Mischarging (*Misuse of Government Resources*)

On June 27, 2022, the IC IG completed its report of investigation for an investigation initiated based on an allegation that from approximately 2013 to 2018, contractor employees downloaded Request for Proposal requirements from the National Reconnaissance Office Acquisitions Resource Center (ARC), without the knowledge of government customers and while charging time to a government contract. Our investigation determined the employees' use of ARC was authorized and within the bounds of ARC's intended use.

Our investigation also determined that employees charged the government for time spent on business development activities. However, this mischarging was minimal and was not at the direction of the vendor's leadership. We found there was confusion, miscommunication, and a lack of clear guidance that contributed to this mischarging, and it was not done with the intent to defraud the government.

Reprisal/Abuse of Authority

On September 1, 2022, the IC IG completed its report of investigation for an investigation initiated based on an allegations of reprisal and abuse of authority. Specifically, the complainant alleged being issued a security violation after making a protected disclosure that an ODNI employee abused his or her authority by delaying the approval of the security requirements for a proposed classified research project.

Our investigation did not substantiate the alleged abuse of authority or reprisal. Instead, we found that the compartmented nature of the program and the proposed classified research project required an extraordinary high level of protection to appropriately manage and protect ODNI-held Sensitive Compartmented Information and technology. The investigation also determined the complainant engaged in misconduct when the complainant deliberately disregarded instructions and read a contractor into the program without authorization. This infraction would have resulted in the issuance of a security violation absent the employee's disclosure.

SUMMARIES OF PUBLISHED REPORTS

Reprisal

On June 3, 2022, the IC IG completed its report of investigation for an investigation initiated based on an allegation that two ODNI senior executives engaged in employee misconduct; specifically, unfair hiring practices when they falsely claimed to have interviewed an applicant for a position within the ODNI. Subsequent to our interview with the complainant, the investigative scope expanded when the complainant contacted the IC IG again and reported the complainant was reprimed against for making a protected disclosure to the IC IG. The complainant claimed the senior executives were aware of the disclosure because they had read-access to the complainant's email account.

Our investigation found no evidence that any ODNI senior executives engaged in misconduct. The evidence established that the applicant was interviewed and that the complainant played no role in the scheduling of interviews. ODNI Instruction 10.34, *Office of the Inspector General of the Intelligence Community*, Paragraph 5(D)(1), Sept. 22, 2013, requires all ODNI personnel to “provide accurate, candid, complete,

and forthcoming responses to all questions posed by IC IG personnel.” The IC IG determined that the complainant violated ODNI policy by knowingly and willfully making numerous material written and verbal false statements to the IC IG.

Our investigation found no evidence that any ODNI senior executives engaged in reprisal. The investigation determined the complainant's initial complaint was not a protected disclosure, as the employee disclosed information with the knowledge that it was false, or with willful disregard for its truth or falsity. As such, the employee could not reasonably have believed the allegations evidenced a violation of any rule or regulation, or an abuse of authority.

As a result of the investigation, the employee's security clearance was suspended. The employee no longer supports the ODNI in any capacity, and as an additional precaution, the appropriate annotations were made to the employee's security file and the IC clearance database.

REPORTING OF UNAUTHORIZED PUBLIC DISCLOSURE OF CLASSIFIED INFORMATION

Title 50 U.S.C. § 3235 requires the IC IG to submit to the congressional intelligence committees a semiannual report regarding the number of investigations the IC IG opened regarding unauthorized public disclosure of classified information; the number of investigations IC IG completed regarding an unauthorized public disclosure of classified information; and the number of completed investigations that the IC IG referred to the Attorney General for criminal investigation.

In accordance with the statutory requirement, the IC IG reports that during the period from April 1, 2022, to September 30, 2022, the IC IG did not initiate or complete any investigations of unauthorized public disclosures of classified information nor did it refer any such investigations to the Attorney General for criminal investigation during the reporting period.

In addition to tracking and mitigating potential Unauthorized Disclosures (UD) originating from within ODNI, the IC IG Unauthorized Disclosure Program (UDP) is involved in the continuous tracking of all UD incidents our IC mission partners report to the IC IG. The IC IG UDP engages in continual outreach and collaboration with ODNI's IC mission partners and tracks all reported UD issues in a dedicated database. The IC IG UDP is responsible for tracking all reported UD issues through closure by their originating organization and through investigation by the Department of Justice. IC IG UDP's collaboration with the National Counterintelligence and Security Center and other IC mission partners has led to the successful closure of numerous previously reported UDs. IC IG UDP's active collaboration has helped foster more timely and accurate UD reporting across the IC, as well as more accurate UD recordkeeping.



Mission Support Division

The Mission Support Division (MSD) provides management and administrative support to the entire IC IG. MSD comprises multidisciplinary officers who provide expertise in financial management, human capital and talent management, facilities and logistics management, continuity of operations, administration, classification reviews, Freedom of Information Act (FOIA) and Privacy Act requests, information technology, communications, and quality assurance. MSD also delivers executive support to the Intelligence Community Inspectors General Forum and its committees.

MSD provides a range of functional support, including strategy development, strategy performance oversight, internal management and alignment of resources to IC IG goals and priorities, implementation of cross-cutting business processes, management of support to the IC IG Forum, budget, personnel, contracts, security, information technology, facilities, logistics, quality assurance, information management, classification, FOIA operations, and continuity of operations, and emergency preparedness. Additionally, MSD supports human capital and communications activities, including shaping and executing

the office's human capital strategy, initiatives and tactical plan, as well as all IC IG outreach activities, such as media engagements, strategic communications, corporate identity and brand management, and visual communication.

INTELLIGENCE COMMUNITY INSPECTORS GENERAL CONFERENCE AND AWARDS PROGRAM

The Office of the Inspector General of the Intelligence Community sponsored the 2022 Intelligence Community Inspectors General Conference, chaired by the IC IG, on April 27, 2022, and the Intelligence Community Inspectors General National Intelligence Professional Awards Ceremony on May 11, 2022. The annual conference and awards ceremonies had not been held since 2019 due to the COVID-19 pandemic. The IC IG conducted this year's events virtually to ensure its colleagues' safety. The 2022 conference was the largest and most diverse in the history of the conference. More than 625 attendees representing 12 Intelligence Community entities and 16 non-Intelligence Community agencies from across the nation joined the event, and numerous others had the opportunity to review the related recordings after the event. Attendees, speakers, moderators, and panel members represented the Intelligence Community Inspectors General Forum and the broader Inspector General community.

The theme of this year's conference, "Resilience," focused on sharing experiences and providing strategies to manage stress and prevent burnout in the workplace. Conference highlights included a "Conversation with the DNI" featuring Director of National Intelligence Avril Haines and an "IG Roundtable" featuring Inspectors General from the National Reconnaissance Office, National Geospatial-Intelligence Agency, Department of Justice, and Department of Energy. Afternoon sessions

offered attendees opportunities for networking in their professional fields of interest, while breakout sessions covered the pertinent topics of artificial intelligence, whistleblower rights and protections, workforce resilience, Zero Trust Architecture, and Office of Inspector General referrals to the Department of Justice.

The IC IG National Intelligence Professional Awards Ceremony hosted 175 viewers. Award winners from 2019, 2020, and 2021 were recognized for their accomplishments and extraordinary contributions to the mission and objectives set forth in the *2019 National Intelligence Strategy*, and to the missions of their respective IC Offices of Inspector General. The ceremony acknowledged awardees in seven award categories: Lifetime Achievement, Leadership, Inspections, Audit, Investigations, Collaboration, and Intelligence Community-Wide Mission Impact.



The IC IG, in collaboration with the Intelligence Community Inspectors General Forum, has begun planning for the 2023 Intelligence Community Inspectors General Conference and Awards Program, scheduled for April 12 and 13, 2023.

Center for Protected Disclosures

The IC IG's Center for Protected Disclosures (the Center) processes whistleblower disclosures and complaints and provides guidance to individuals about the options and protections afforded to those who wish to make protected disclosures to the IC IG and/or Congress, or who believe they suffered reprisal because they made a protected disclosure.

Whistleblowing is the lawful disclosure to an authorized recipient of information a person reasonably believes evidences wrongdoing. It is the mechanism to relay the right information to the right people to counter wrongdoing and promote the proper, effective, and efficient performance of the IC's mission. Whistleblowing in the Intelligence Community is extremely important as it ensures that personnel can "say something" when they "see something" through formal reporting procedures without harming national security and without retaliation.



THE CENTER FOR PROTECTED DISCLOSURES PERFORMS
FOUR CRITICAL FUNCTIONS FOR WHISTLEBLOWERS IN THE IC

1

The Center, through the IC IG Hotline program, receives and processes whistleblower complaints of fraud, waste, abuse, or mismanagement of programs and activities within the responsibility of the DNI. There are six ways for individuals to report their concerns to the IC IG Hotline: (1) public and secure telephone numbers; (2) public and secure websites; (3) walk-in meetings at the IC IG’s offices; (4) USPS mail; (5) fax; and (6) drop boxes in ODNI facilities.

6 Ways to Report Concerns to the IC IG Hotline



TELEPHONE



FAXES



USPS MAIL



EMAIL/WEB



DROP BOX



WALK-IN

CENTER FOR PROTECTED DISCLOSURES

2

The Center, through the IC IG Hotline program, receives and processes allegations of “urgent concerns” pursuant to the Intelligence Community Whistleblower Protection Act (ICWPA), which has been codified as part of the IC IG’s authorizing statute, 50 United States Code § 3033(k)(5). The ICWPA established a process to ensure that the DNI and congressional intelligence committees receive disclosures regarding certain types of wrongdoing, including serious or flagrant problems, abuses, violations of law or Executive Order, or deficiencies relating to the funding, administration, or operation of an intelligence activity.

To submit an “urgent concern” to the IC IG, the law requires that a submitter be “[a]n employee of an element of the intelligence community, an employee assigned or detailed to an element of the intelligence community, or an employee of a contractor to the intelligence community.”⁴ The ICWPA also requires that a submitter provide a complaint or information with respect to an “urgent concern,” which is defined as one of the following:

A serious or flagrant problem, abuse, violation of the law or Executive order, or deficiency relating to the funding, administration, or operation of an intelligence activity within the responsibility and authority of the Director of National Intelligence involving classified information, but does not include differences of opinions concerning public policy matters.

A false statement to Congress, or a willful withholding from Congress, on an issue of material fact relating to the funding, administration, or operation of an intelligence activity.

An action, including a personnel action described in Section 2302(a)(2)(A) of title 5, United States Code, constituting reprisal or threat of reprisal prohibited under subsection (g)(3)(B) of this section in response to an employee’s reporting an “urgent concern” in accordance with this paragraph.⁵

If the IC IG determines that the complaint falls within the jurisdiction of the ICWPA, then the IC IG must, within 14 calendar days, determine whether the “urgent concern” allegation “appear[s] credible.” The Center tracks all ICWPA disclosures, ensures review of materials for classified information, and coordinates disclosures with other Offices of Inspector General for appropriate review and disposition.

⁴ 50 U.S.C. 3033(k)(5)(A).

⁵ 50 U.S.C. 3033 (k)(5)(G)(i-iii).

3

The Center adjudicates IC employee and contractor requests for the IC IG to review their allegations of reprisal under Presidential Policy Directive-19 (PPD-19), *Protecting Whistleblowers with Access to Classified Information*, and 50 U.S.C. §§ 3234 and 3341(j). These authorities prohibit acts of reprisal against employees and contractors serving in the IC, as well as those who are eligible for access to classified information, who make protected disclosures.

The Center also reviews other IC OIGs' determinations regarding whistleblower reprisal allegations and non-IC OIGs determinations regarding security clearances that are alleged to be in reprisal for making a protected disclosure. A whistleblower who has exhausted his or her home agency's whistleblower protection processes may request an External Review Panel (ERP). Under appropriate circumstances, the IC IG may convene an ERP and review the matter.

4

The Center conducts outreach and training across the IC to ensure stakeholders have accurate and consistent whistleblowing information relating to these and other matters. The Center provides guidance to personnel seeking more information about the options and protections afforded to individuals who may wish to make a protected disclosure to the IC IG and/or Congress, or who believe they have suffered reprisal because they made a protected disclosure.

ACTIVITIES DURING THIS REPORTING PERIOD

The Center for Protected Disclosures routinely engaged with other Offices of Inspector General to collaborate on a wide range of topics, including specific complaints, document requests, information sharing, and information technology.

The Center for Protected Disclosures received whistleblower disclosures, made referrals to other divisions and agencies, reviewed reports of “urgent concern,” and evaluated requests for ERPs.

The Director of the Center for Protected Disclosures continued to foster active outreach by teaching a seminar at the National Intelligence University (NIU) regarding whistleblowing in the IC, as part of the NIU National Security Law and Intelligence Ethics course.

The Center submitted to Congress two quarterly reports detailing the number, nature, and trends of complaints the IC IG Hotline processed in the second and third quarters of FY 2022. These reports are part of an ongoing series of Hotline reports pursuant to the Intelligence Authorization Act for Fiscal Year 2021. The IC IG continues to refine its intake categories to capture more detailed information and enhance the IC IG’s ability to identify potential trends and areas of concern based on Hotline reporting. The IC IG further revised its quarterly reports this reporting period to better align with the classified annex accompanying the Intelligence Authorization Act for Fiscal Year 2021, which requires the IC IG to “provide quarterly updates to the congressional intelligence committees on the *complaints* submitted to the IC IG Hotline and an analysis of the trends in the *complaints*, e.g., numbers, topics” (emphasis added). The IC IG Hotline considers a “complaint” any contact in which an individual reports to the Hotline an allegation or information that potentially concerns a program or activity within the responsibility and authority of the Director of National Intelligence. The *IC IG Semiannual Report* includes information on all new “contacts” logged during the reporting period.⁶

⁶ A contact includes “complaints,” as defined above, and other matters received through the Hotline, such as suitability checks, requests for information, and other, non-substantive, commercial, or frivolous contacts.

The Center for Protected Disclosures, with the support of IC IG cadre, hosted two outreach events with informational tables at ODNI's Liberty Crossing and Intelligence Community Campus–Bethesda. The IC IG celebrated Whistleblower Appreciation Day on July 27, 2022, and recognized National Compliance Officer Day on September 28, 2022. These well-attended events elevated the IC IG's accessibility and visibility and provided opportunities for the IC IG to engage with the workforce, answer questions, and offer general information about the IC IG and the services it provides to the Intelligence Community.

The Center for Protected Disclosures received and processed seven "urgent concern" allegations. One of the filings met the reporting threshold under the ICWPA. Two matters did not meet the ICWPA threshold for immediate reporting; however, the IC IG notified the DNI of the substance of the complaint under other authorities. The IC IG subsequently forwarded these two matters to the congressional intelligence committees on the DNI and the filers' behalf. After review, the IC IG determined that the four remaining filings failed to establish the minimum urgency and credibility requirements for reporting under 50 U.S.C. § 3033(k)(5) and did not warrant reporting under other authorities.

The Center for Protected Disclosures participated in the 2022 Intelligence Community Inspectors General Conference in support of the IC IG's Strategic Goal to "enhance collaboration, coordination, and communication among IC Offices of Inspector General, Congress, and our domestic and foreign partners." The Director, CPD hosted two breakout sessions: "Networking for Whistleblower Professionals" and "Whistleblowing Current Issues and Trends." During these sessions participants learned about trends in whistleblower reporting and discussed recent changes in Title 50 law and its impact on investigating whistleblower reprisal allegations.

EXTERNAL REVIEW PANEL REQUESTS

The Center for Protected Disclosures received 8 new ERP requests during the reporting period⁷ and closed 13 requests. The Center conducts an initial assessment and review of materials submitted by both the complainant and the complainant’s employing agency prior to reaching a determination. In total, the Center has 10 initial assessments of ERP requests currently pending. Six of those request are undergoing initial assessment by IC IG. Two ERP requests are being held in abeyance by IC IG pending receipt of evidence files from the requestor. One ERP request is under consideration by DIA OIG on behalf of the IC IG and one request is pending referral back to the local OIG for additional work. During this period there were no ERP determinations issued nor prior panel recommendations awaiting responses from the heads of agencies.

8.....• NEW ERP REQUESTS⁷



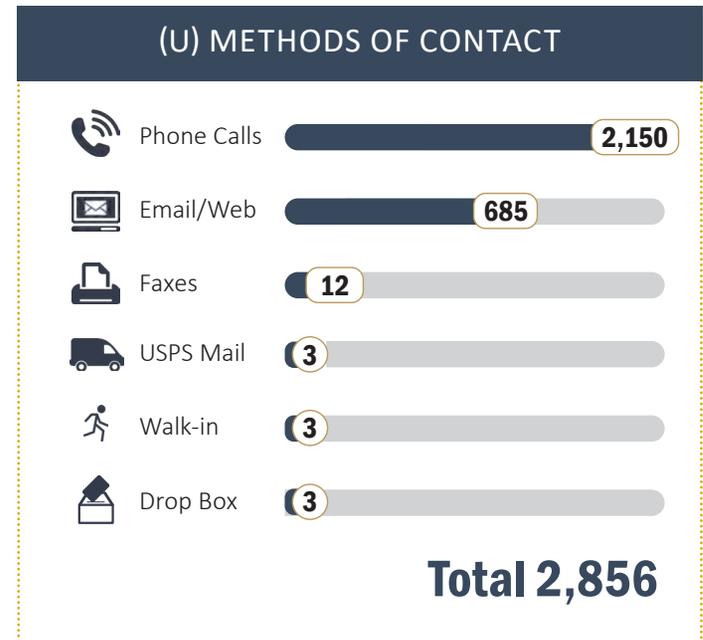
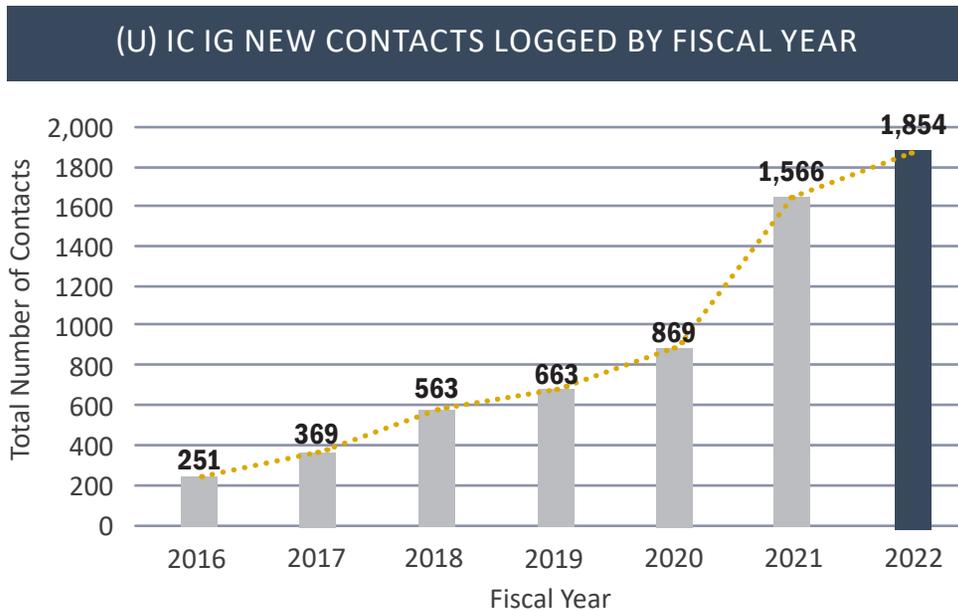
13.....• CLOSED ERP REQUESTS



⁷ Specific reporting required under 50 U.S.C. § 3236(e).

IC IG HOTLINE METRICS: APRIL 1, 2022 - SEPTEMBER 30, 2022

The IC IG Hotline provides a confidential means for Intelligence Community employees, contractors, and the public to report information concerning suspected fraud, waste, and abuse of programs and activities within the responsibility and authority of the Director of National Intelligence. The Hotline can be contacted via classified and unclassified email and phone lines, USPS mail, secure web submissions, walk-ins, and drop boxes located in select ODNI facilities.



7 "URGENT CONCERNS"
INITIATED DURING THIS REPORTING PERIOD



8 EXTERNAL REVIEW PANELS (ERPs)
INITIATED DURING THIS REPORTING PERIOD



☎ OPEN: 855-731-3260
🌐 OPEN: WWW.DNI.GOV/ICIG

Counsel to the Inspector General

The Counsel's office ensures that the Inspector General and other members of the IC IG receive independent, confidential legal advice and policy counsel.

The Counsel team's legal support and guidance is designed to meet the unique needs of each IC IG division. To support the Investigations Division, the Counsel team, among other things, highlights and provides advice on potential legal issues that may require additional or redirected investigative efforts throughout the investigative process.

To support the activities of the Audit Division and Inspections and Evaluations Division, the Counsel team identifies and interprets key policy, contract, and legal provisions relevant to reported observations, findings, and recommendations. In support of the IC IG's whistleblower program, the Counsel team assists the Center for Protected Disclosures in developing policies and procedures, evaluating whistleblower disclosures, and providing legal advice for External Review Panels. The Counsel's office also provides

enterprise-wide legal and policy guidance and reviews matters related to IC IG personnel, administration, training, ethics, independence, and budgetary functions. Attorneys from the Counsel's office also participate in the Intelligence Community Inspectors General Forum, the Forum's Counsels Committee, CIGIE's Legislative and Counsels Committees, and working groups of the Five Eyes Intelligence Oversight and Review Council.



In addition to its legal support role, the Counsel to the Inspector General serves as the IC IG's congressional liaison. During the reporting period, the Counsel team held several informal working-level engagements with congressional staff on pending matters. The Counsel team also responded to formal congressional requests for information and reported on audits and reviews in response to congressional interest and legislative mandates.

COUNSEL TO THE INSPECTOR GENERAL REQUESTS AND INQUIRES

- During this reporting period, the IC IG responded to a variety of requests and inquiries from the congressional intelligence committees, as well as congressional staff, to address questions regarding pending legislation and other matters within the IC IG's jurisdiction.
- The IC IG Counsel's office also assisted with responses to requests for information and other inquiries from the U.S. Government Accountability Office related to a variety of projects broadly relevant to IC oversight.
- The IC IG Counsel's office coordinated with the Forum's Counsels Committee on memoranda expressing views on pending and proposed legislation; monitored progress of the Intelligence Authorization Act for Fiscal Year 2023 toward passage and provided related information to Counsels Committee counterparts; and tracked and advanced coordination of Forum responses to congressionally directed actions.
- The IC IG Counsel's office drafted and coordinated the report on PPD-19 and whistleblower harmonization efforts required under Section 501 of the Intelligence Authorization Act for Fiscal Year 2022. The report was submitted to the congressional intelligence committees on September 9, 2022.
- The IC IG Counsel's office also coordinated with ODNI components in an effort to complete congressionally directed actions.

Partnerships



IC IG MANAGEMENT ADVISORY COMMITTEE WORKFORCE INITIATIVES

The IC IG Management Advisory Committee (MAC) is an employee-driven committee that serves as a catalyst for open communication between IC IG leadership and the workforce. The MAC’s mission is to advance the IC IG’s core values, promote employee engagement, and enhance the workplace environment. The MAC provides a mechanism for the workforce (non-supervisory) to raise concerns that are corporate in nature and makes recommendations or proposes courses of action to leadership to address workforce-identified issues. The committee meets monthly to consider opportunities to guide process improvement, advise on office innovation, and strengthen the IC IG’s ability to efficiently and effectively achieve its mission.

During the reporting period, the MAC sponsored three office-wide forums to promote engagement between leadership and the workforce. The topics, identified as areas of interest by the workforce, focused on the IC IG’s Non-Monetary Award Program, knowledge management, IC IG Annual Conference, Office of Personnel Management survey, Exceptional Performance Award Program, use of pronouns in signature blocks, and office custodial needs. The MAC also conducted an outreach initiative with the Defense Intelligence Agency Office of Inspector General to learn about its advisory committee and share insights, processes, and lessons. In addition, the MAC routinely delivered relevant insights at the IC IG’s quarterly town halls.



FORUMS

Three office-wide forums promoted engagement between leadership and the workforce



OUTREACH

Partnered with the DIA OIG to share insights, processes and lessons learned



INSIGHT

Delivered relevant MAC insights at the quarterly IC IG town halls.

PARTNERSHIPS

INTELLIGENCE COMMUNITY INSPECTORS GENERAL FORUM

One of the most significant ways the Inspector General of the Intelligence Community works to improve integration of the IC is through the Intelligence Community Inspectors General Forum (the Forum). By statute, the Forum consists of statutory or administrative Inspectors General with oversight responsibility for an element of the IC. The IC IG is the Chair of the Forum.

Through the Forum, members can learn about the work of individual members that may be of common interest and discuss matters related to jurisdiction or access to information and staff. As Chair, the IC IG leads the Forum by coordinating efforts to find joint solutions to mutual challenges for improved integration among the Forum members. Forum committees, topic-specific working groups, and subject matter experts generate ideas to address shared concerns and mutual challenges for consideration and decision by the Inspectors General.



Office of the Inspector General of the Intelligence Community



Central Intelligence Agency
Office of Inspector General



Defense Intelligence Agency
Office of the Inspector General



Department of Defense
Office of Inspector General



Department of Energy
Office of Inspector General



Department of Homeland Security
Office of Inspector General



Department of Justice
Office of the Inspector General



Department of State
Office of Inspector General



Department of the Treasury
Office of Inspector General



National Geospatial-Intelligence Agency
Office of the Inspector General



National Reconnaissance Office
Office of Inspector General



National Security Agency
Office of the Inspector General

INTELLIGENCE COMMUNITY INSPECTORS GENERAL FORUM MEETING SUMMARIES

THE FORUM

The Intelligence Community Inspectors General Forum held quarterly meetings in June and September 2022. The June session discussed Forum governance, particularly the Forum policies and procedures that were finalized and issued in April 2022. The members discussed implementing guidance associated with the newly established policies and procedures and the newly created Vice Chair positions to the Forum Committees. The Forum also discussed the Intelligence Authorization Act for Fiscal Year 2022, planning efforts for the

2022 Annual Meeting of the Five Eyes Intelligence Oversight and Review Council (FIORC), and FY 2022 work planning. The Forum also shared reflections on the 2022 Intelligence Community Inspectors General Conference and Awards Ceremony.

The Forum assembled in September to discuss current Forum efforts to implement Section 5334 of the Intelligence Authorization Act for Fiscal Year 2020, *Oversight by IC IG over IC Whistleblower Matters*. The Director for Strategic Warfare and Intelligence for the U.S. Government Accountability Office (GAO) also briefed the Forum on GAO's intelligence projects and led an open dialogue with Forum members on

GAO's recently completed and ongoing work. The group also discussed planning efforts for the 2022 FIORC Annual Meeting and the 2023 Intelligence Community Inspectors General Conference and Awards Ceremony, as well as pending legislation, particularly the Intelligence Authorization Act for Fiscal Year 2023.



The Forum will meet again in December 2022.

DEPUTIES COMMITTEE

The Deputies Committee, led by the Principal Deputy Inspector General, met twice during the reporting period. In May, the National Reconnaissance Office provided a brief on the IC Offices of Inspector General secure cloud environment, and the group received an update on the activities tracked by the Forum's Audit Committee. The Deputies continued to examine a Joint Duty initiative specifically tailored to personnel within the Office of Inspector General community, and established a goal to launch the

initiative in FY 2023. The group also shared potential topics for upcoming annual work plans and agenda items for the November 2022 FIORC Annual Meeting, hosted by the United States.

The Deputies Committee convened again in August 2022. IC IG's Director of the Center for Protected Disclosures provided an update to the group on activities of the Whistleblower Working Group, and IC IG officials provided an update on Forum efforts to implement Section 5334 of the Intelligence Authorization Act for Fiscal Year 2022, *Oversight of*

IC IG over Whistleblower Matters. The Deputies also discussed the pending Intelligence Authorization Act for Fiscal Year 2023, risk management analysis and oversight, best practices and models for identifying and managing top management challenges, and planning efforts for the 2022 FIORC Annual Meeting.



The next Deputies Committee meeting is scheduled for November 2022.

COMMITTEES, SUBCOMMITTEES, AND WORKING GROUPS



AUDIT COMMITTEE

The Audit Division hosted the Forum’s Audit Committee Cybersecurity Subcommittee quarterly meetings to discuss multiple topics of interest. At the June 2022 virtual meeting, members focused on commercial cloud efforts and updates to FISMA requirements, which continue to impact reporting metrics. At the September 2022 virtual meeting, members received an informative brief on key updates to Security and Privacy Controls for Federal Information Systems and Organizations, as prescribed by the National Institute of Standards and Technology.

The next Forum Audit Committee quarterly meeting is scheduled for December 2022.



COUNSELS COMMITTEE

The Forum’s Counsels Committee meets regularly to discuss legal and policy issues of common interest to the IC and to promote the consistent interpretation of statutes, regulations, policies, and Executive Orders. The Counsels Committee operates with the goal of providing legal analysis of, and options relating to, issues of particular importance to the Forum.

During this reporting period, the Counsels Committee discussed and collaborated on key initiatives, including expressing collective views on proposed legislation, responding to and advancing congressionally directed actions, drafting and discussing Forum governance policy, and addressing other important matters of common interest. The Counsels Committee

supported the development and coordination of the Forum’s policies and procedures, as well as associated implementing guidance, to include guidance for the selection of Forum Committee Chairs and Vice Chairs.

The next Forum Counsels Committee quarterly meeting is scheduled for December 2022.





INSPECTIONS COMMITTEE

The IC IG Assistant Inspector General for Inspections and Evaluations chairs the Forum's Inspections Committee. The Committee met on September 29, 2022, to discuss FY 2023 annual work planning and share preliminary project topics, opportunities for future joint or concurrent work, best practices, and lessons learned in addition to receiving presentations on IC insider threat and artificial intelligence activities. The agenda also included a presentation on artificial intelligence, legislative updates, and a discussion on coordinating congressionally directed projects.

There are two established working groups within the Inspections Committee. The Classification Review Working Group continued to brainstorm ideas on how to

streamline IC enterprise capstone reporting, including addressing key deliverables and synchronizing efforts to ensure uniformity in the presentation of information. The committee also established the Enhanced Personnel Security Program Working Group to develop a common Office of Inspector General framework for addressing requirements in the Intelligence Authorization Act for Fiscal Year 2016, Pub. L. No. 114-113. The group's accomplishments this reporting period include establishing definitions, criteria, and a common approach for developing objectives, scope, and methodology. The group will continue to discuss other opportunities for harmonization of inspection and evaluation reporting across the community.

Committee members also coordinated and agreed-upon peer review schedules, prepared to be peer reviewed, and nominated personnel to support external peer reviews. As chair of the IC IG's Inspections Committee, the IC IG Assistant Inspector General for Inspections and Evaluations will continue to serve as the peer review coordinator for the Intelligence Community Offices of Inspector General inspection and evaluation program.

The next Forum Inspections Committee quarterly meeting is scheduled for December 2022.





INVESTIGATIONS COMMITTEE

In March, the Forum’s Investigations Committee, led by the IC IG Assistant Inspector General for Investigations, discussed Section 5334 of the Intelligence Authorization Act for Fiscal Year 2020, which mandates that the Forum establish a system whereby the IC IG is notified near real time of the following: (1) Submission of complaints by whistleblowers to Inspectors General of elements of the IC relating to the programs and activities under the jurisdiction of the DNI, and information related to such complaints; and (2) Actions taken by an Inspector General of an element of the IC relating to such complaints. The IC IG Hotline Program Manager briefed the group on the mechanics of the submission portal and the associated reporting requirements and procedures. The conversation also included discussions on lessons learned, protecting sensitive information, and the purpose of the information being collected.

The Investigations Committee also shared the benefits of being participating members of the U.S. Attorney’s Office, Suspicious Activity Report review teams, the status of the IC investigations peer reviews, practices for publishing investigative findings and recommendations in the *IC IG Semiannual Report*, and Intelligence Community Directive 701.



On September 27, 2022, the Investigations Committee hosted a discussion on prohibited financial transactions in sanctioned countries. The presentation also analyzed the seizures of cryptocurrency accounts and the largest seizure of cryptocurrency accounts connected to terrorists.

The next Forum Investigations Committee quarterly meeting is scheduled for December 2022.





MANAGEMENT AND ADMINISTRATION COMMITTEE

In May and August 2022, the IC IG Assistant Inspector General for Mission Support hosted productive IC IG Forum Management and Administration Committee meetings. The Committee membership identified timely and relevant agenda topics that resulted in fulsome discussions that focused on strengthening Office of Inspector General (OIG) independence, OIG capabilities and structure, and the upcoming pilot of the OIG Joint Duty initiative. Additionally, representatives of the National Achieves and Records Administration briefed the members on the requirement for federal agencies to manage all permanent records in an electronic format.



The next Forum Management and Administration Committee quarterly meeting is scheduled for December 2022.



INFORMATION TECHNOLOGY SUBCOMMITTEE

The Mission Support Division hosted the second and third quarterly meetings of the Forum's Information Technology (IT) Committee in May and August 2022, respectively. The IT Committee serves as a central point for technical representatives from each IC Inspector General office to discuss shared concerns, future planning, solutions to common issues, and more.

In May, the committee focused on identifying future tools for improving data sharing among all IC OIGs. The discussion covered the National Reconnaissance Office's upcoming "IC OIG cloud" effort, efforts to identify and test viable unclassified collaboration platforms, and better ways to share existing IT knowledge (e.g., deploying OIG internally-developed guides and process documents to shared spaces such as Intellipedia).

In August, the committee identified several key areas where members were able to help each other by sharing their knowledge of successful software implementations. The meeting included discussions on Voice-to-Text transcription software, navigating the security landscape for recording digital meetings, and scheduling demos of offices' "OIG Recommendation Tracking" solutions, for potential adoption by other OIGs.



The next Information Technology Subcommittee quarterly meeting is scheduled for November 2022.

INTELLIGENCE COMMUNITY INSPECTORS GENERAL FORUM WORKING GROUPS LED BY THE CENTER FOR PROTECTED DISCLOSURES



IC HOTLINE WORKING GROUP

On September 7, 2022, the Center for Protected Disclosures hosted an IC Hotline Working Group meeting. The Working Group meets semiannually and includes members from Offices of Inspector General from the Central Intelligence Agency, Defense Intelligence Agency, National Geospatial-Intelligence Agency, National Security Agency, National Reconnaissance Office, and Office of the Inspector General of the Intelligence Community. Topics discussed during the meeting related to the status of the IC consolidated reporting system for whistleblower complaints, a discussion of the IC OIG Joint Duty Pilot program, best practices for follow-up of Hotline management referrals, and future training opportunities.



WHISTLEBLOWER WORKING GROUP

The Center for Protected Disclosures broadened its whistleblower outreach during this period by participating in various Forum and working group discussions. Specifically, the Center participated in the Hotline Working Group, Investigations and Counsel's Forums, and presented to the IC Forum Deputies Committee on whistleblower matters. On September 28, 2022, the Whistleblower Working Group met to discuss whistleblower matters of common interest. Specifically, the working group discussed the IC OIG Joint Duty Pilot program, the status of the IC consolidated reporting system for whistleblower complaints, recent changes to whistleblower law, the status of pending external review panel requests, and proposed topics for future meetings.

PEER REVIEWS

Audit

In March 2022, the Office of Inspector General of the National Reconnaissance Office conducted an external peer review of the IC IG to assess organizational implementation of the seven standards in CIGIE's *Quality Standards for Inspection and Evaluation* (Blue Book), January 2012. The seven covered Blue Book standards are Quality Control, Planning, Data Collection and Analysis, Evidence, Records Maintenance, Reporting, and Follow-Up. The external peer review team selected an evaluation report the Audit Division prepared and issued in FY 2021 to assess the report's compliance with Blue Book standards and internal policies and procedures. The reviewing Office of Inspector General concluded that the Audit Division's policies and procedures generally met the seven CIGIE Blue Book standards. The final report was issued May 18, 2022.

Investigations

In 2019, the U.S. Government Accountability Office (GAO) recommended the IC IG develop a process to facilitate external quality assurance reviews of IC OIGs' Investigations programs. In response to GAO's recommendation, in March and June of 2021, the Chair of the Investigations Committee of the Forum, in collaboration with the Forum's members, implemented a "team approach" concept for conducting external quality assurance (peer) reviews, consistent with CIGIE's *Quality Standards for Investigations*. This approach allows for an investigator from each IC OIG Investigations Division to participate in the scheduled reviews. While a senior investigator will lead each review, it also affords an opportunity to teach and train less-experienced investigators who would not normally participate in such reviews. The IC IG is continuing its support of the National Security Agency (NSA) Office of the Inspector General's investigations program peer review. Due to technological issues, the review of the NSA lasted longer than anticipated. However, in consultation with the NSA, we expect the review to conclude by November 2022. In August, the IC IG also began supporting the review of the Defense Intelligence Agency, Office of the Inspector General's investigations program.

As Chair of the IC IG's Investigations Committee, the IC IG Assistant Inspector General for Investigations will continue to serve as the peer review coordinator for the Intelligence Community OIGs investigations programs and provide updates to the CIGIE Investigations Committee, as needed or required.

PARTNERSHIPS



FIVE EYES INTELLIGENCE OVERSIGHT AND REVIEW COUNCIL

The Five Eyes Intelligence Oversight and Review Council (FIORC) comprises the following non-political intelligence oversight, review, and security entities of the Five Eyes countries: the Office of the Inspector-General of Intelligence and Security (Australia); the Office of the Intelligence Commissioner and National Security and Intelligence Review Agency (Canada); the Office of the Inspector-General of Intelligence and Security (New Zealand); the Investigatory Powers Commissioner’s Office (United Kingdom) and the Office of the Inspector General of the Intelligence

Community (United States). The Council members exchange views of mutual interest and compare best practices in review and oversight methodology.

The members of FIORC hold conference calls throughout the year, but gather in person annually, with the host country rotating among the participants.



The United States is planning to host the next annual Council meeting in-person in the Washington DC area in November 2022.

THE INSPECTOR GENERAL COMMUNITY

More than forty years ago, President Jimmy Carter signed the Inspector General Act of 1978 and described the new statutory Inspectors General as “perhaps the most important new tools in the fight against fraud.” The Inspector General of the Intelligence Community, one of 74 Inspectors General collectively overseeing the operations of nearly every aspect of the federal government, looks forward to continuing to work with CIGIE on important issues that significantly affect productivity, transparency, and accountability throughout the federal government.

The IG is personally engaged in various CIGIE activities including the Technology Committee, the Budget Committee, and the Diversity, Equity, Inclusion and Accessibility Working Group. Other members of the Office of the IC IG are also engaged in various CIGIE committees and activities.

SORT. SEARCH. FILTER

Oversight.gov allows users to sort, search, and filter the site’s database of public reports from all CIGIE-member OIGs to find reports of interest.

Oversight.gov

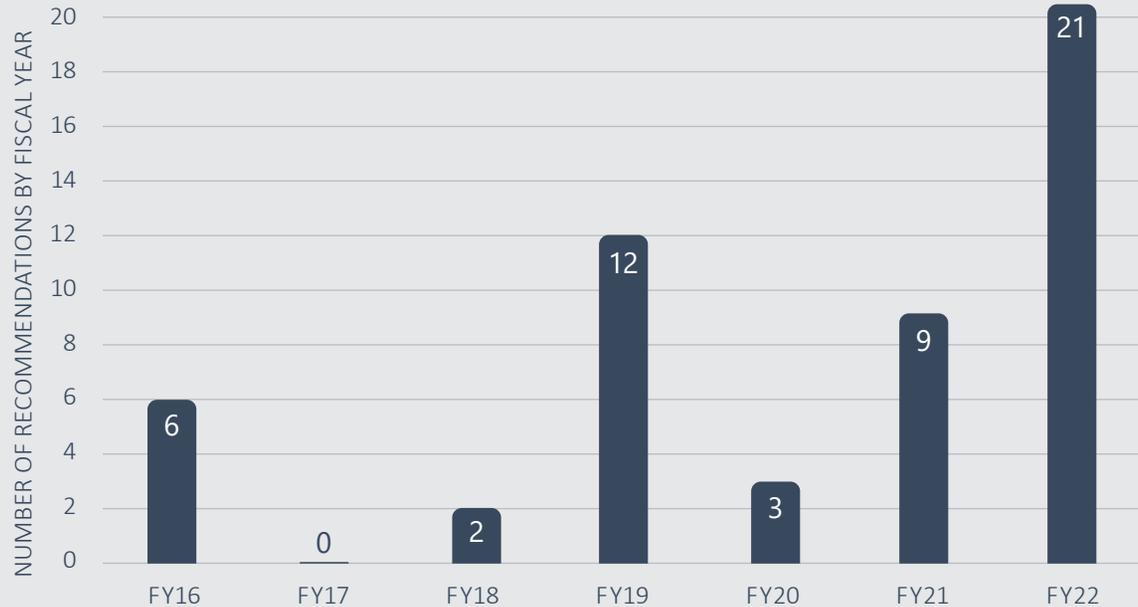
On behalf of the federal Inspector General community, CIGIE manages Oversight.gov, a “one stop shop” to follow the ongoing oversight work of all OIGs that publicly post reports. The IC IG, like other OIGs, posts reports to its own website, as well as to Oversight.gov, to afford users the benefits of the website’s search and retrieval features. Oversight.gov allows users to sort, search, and filter the site’s database of public reports from all CIGIE-member OIGs to find reports of interest. In addition, the site features a user-friendly map that allows users to find reports based on geographic location and obtain contact information for each OIG’s hotline. Users can receive notifications when new reports are added to the site by following CIGIE’s Twitter account, @OversightGov.

Statistical Data

RECOMMENDATIONS

Following publication of a report, IC IG divisions interact on a minimum quarterly basis with the responsible ODNI officials (or other organization officials, as appropriate) to ensure the agency is taking actions to implement report recommendations. IC IG personnel document the responsive actions taken into the IC IG’s recommendations tracking database. IC IG division leadership approves the closure of a recommendation once it has been demonstrated that responsive actions meet the intent of a recommendation.⁸ The divisions may revisit closed recommendations to ensure actions are fulfilled or to inform follow-on reviews.

NUMBER OF UNIMPLEMENTED RECOMMENDATIONS BY YEAR



⁸ In some cases, responsive actions deviate from the original IC IG recommendation because responsible ODNI officials may revise their plan of corrective action. In those cases, responsive actions may not align directly with the original recommendation, but IC IG may determine the actions still meet the intent of the recommendation.

RECOMMENDATIONS TABLE

| REPORT NAME | DATE ISSUED | TOTAL ISSUED | NEW THIS PERIOD | CLOSED IN PRIOR SAR PERIODS | CLOSED THIS PERIOD | CURRENTLY OPEN |
|--|-------------|--------------|-----------------|-----------------------------|--------------------|----------------|
| FY 2022 | | | | | | |
| Audit: FY 2021 Independent Evaluation of the Office of the Director of National Intelligence's Information Security Program and Practices, as Required by the Federal Information Security Modernization Act of 2014 | OCT | 4 | 0 | 0 | 3 | 1 |
| Inspection: Evaluation of Intelligence Community Implementation of Security Clearance Reciprocity | OCT | 3 | 0 | 0 | 0 | 3 |
| Inspection: Review of the Intelligence Community Analytic Ombudspersons' Complaint Processes | DEC | 1 | 0 | 0 | 0 | 1 |
| Investigation: Contractor Misconduct | FEB | 4 | 0 | 0 | 0 | 4 |
| Investigation: Contractor Cost Mischarging and Misconduct | MAR | 5 | 0 | 0 | 5 | 0 |
| Investigation: Time and Attendance Fraud | MAR | 3 | 0 | 0 | 0 | 3 |
| Inspection: Review of the Office of the Director of National Intelligence Pandemic Preparedness and Response | JUN | 3 | 3 | 0 | 0 | 3 |
| Investigation: Contractor Misconduct | JUL | 4 | 4 | 0 | 4 | 0 |
| Investigation: Other Administrative Matters (USERRA) | AUG | 4 | 4 | 0 | 0 | 4 |
| Investigation: Conflict of Interest | SEP | 2 | 2 | 0 | 0 | 2 |

RECOMMENDATIONS TABLE

| REPORT NAME | DATE ISSUED | TOTAL ISSUED | NEW THIS PERIOD | CLOSED IN PRIOR SAR PERIODS | CLOSED THIS PERIOD | CURRENTLY OPEN |
|--|-------------|--------------|-----------------|-----------------------------|--------------------|----------------|
| FY 2021 | | | | | | |
| Inspection: Evaluation of the Intelligence Community Foreign Language Program | AUG | 9 | 0 | 0 | 0 | 9 |
| Inspection: Classification Review of the Office of the Director of National Intelligence, Fiscal Year 2020 | SEPT | 3 | 0 | 1 | 2 | 0 |
| FY 2020 | | | | | | |
| Audit: Office of the Director of National Intelligence's Government Travel Card Program | JUL | 11 | 0 | 8 | 1 | 2 |
| Audit: FY 2019 Independent Evaluation of the Office of the Director of National Intelligence's Information Security Program and Practices, as Required by the Federal Information Security Modernization Act of 2014 | OCT | 2 | 0 | 0 | 1 | 1 |

RECOMMENDATIONS TABLE

| REPORT NAME | DATE ISSUED | TOTAL ISSUED | NEW THIS PERIOD | CLOSED IN PRIOR SAR PERIODS | CLOSED THIS PERIOD | CURRENTLY OPEN |
|--|-------------|--------------|-----------------|-----------------------------|--------------------|----------------|
| FY 2019 | | | | | | |
| Audit: Office of the Director of National Intelligence's Fiscal Year 2018 Conference Spending | SEPT | 2 | 0 | 0 | 1 | 1 |
| Audit: Management of Privileged Users of Office of the Director of National Intelligence Information Systems | SEPT | 9 | 0 | 6 | 1 | 2 |
| Inspection: Assessment of the Office of the Director of National Intelligence Methods Used to Substantiate Post-Secondary Education Claims Made by ODNI Cadre Employees Subsequent to Entry-on-Duty | AUG | 7 | 0 | 5 | 2 | 0 |
| Audit: FY 2018 Independent Evaluation of the Office of the Director of National Intelligence's Information Security Program and Practices, as Required by the Federal Information Security Modernization Act of 2014 | FEB | 11 | 0 | 3 | 1 | 7 |
| Inspection: The Cyber Threat Intelligence Integration Center, Office of the Director of National Intelligence | JAN | 9 | 0 | 6 | 1 | 2 |
| FY 2018 | | | | | | |
| Audit: Memorandum to the Chief Operating Officer - Charge Card Program | AUG | 2 | 0 | 1 | 0 | 1 |
| Inspection: Assessment of IC Freedom of Information Act (FOIA) Programs | SEPT | 10 | 0 | 7 | 2 | 1 |

RECOMMENDATIONS TABLE

| REPORT NAME | DATE ISSUED | TOTAL ISSUED | NEW THIS PERIOD | CLOSED IN PRIOR SAR PERIODS | CLOSED THIS PERIOD | CURRENTLY OPEN | |
|---|-------------|---------------|-----------------|-----------------------------|--------------------|----------------|-----------|
| FY 2016 | | | | | | | |
| Audit: Independent Auditor's Report on the Office of the Director of National Intelligence Fiscal Year 2015 Financial Statements* | NOV | 15 | 0 | 9 | 0 | 6 | |
| *Audit performed by Central Intelligence Agency OIG; IC IG assumed responsibility in FY 2022 for following up on open recommendations when IC IG assumed responsibility for ODNI financial statements audits. | | TOTALS | 123 | 13 | 46 | 24 | 53 |

ABBREVIATIONS AND ACRONYMS

| | | | |
|------------------|---|--------------|---|
| AIN..... | Agency Internet Network | IC IG | Office of the Inspector General of the Intelligence Community |
| AR | Agency Regulation | ICWPA..... | Intelligence Community Whistleblower Protection Act |
| ARC..... | Acquisitions Resource Center | IG | Inspector General |
| The Center | Center for Protected Disclosures | IPA | Independent Public Accounting |
| CIGIE..... | Council of the Inspectors General on Integrity and Efficiency | ISCM | Information Security Continuous Monitoring |
| COVID-19..... | Coronavirus 2019 | IT..... | Information Technology |
| DIA..... | Defense Intelligence Agency | MAC..... | Management Advisory Committee |
| DNI | Director of National Intelligence | MSD..... | Mission Support Division |
| DoD | Department of Defense | NDA..... | National Defense Authorization Act |
| ERP | External Review Panel | NIU | National Intelligence University |
| FIORC..... | Five Eyes Intelligence Oversight and Review Council | NSA..... | National Security Agency |
| FISMA | Federal Information Security Modernization Act of 2014 | ODNI..... | Office of the Director of National Intelligence |
| FOIA..... | Freedom of Information Act | OIG | Office of the Inspector General |
| The Forum..... | Intelligence Community Inspectors General Forum | PIIA | Payment Integrity Information Act |
| FY..... | Fiscal Year | PPD..... | Presidential Policy Directive |
| GAO | Government Accountability Office | SAR | Semiannual Report |
| I&E..... | Inspections and Evaluations | UD | Unauthorized Disclosure |
| IAA..... | Intelligence Authorization Act | UDP | Unauthorized Disclosure Program |
| IC | Intelligence Community | USERRA | Uniformed Service Employment and Reemployment Rights Act |



THE OFFICE OF THE INSPECTOR GENERAL
OF THE INTELLIGENCE COMMUNITY

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